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FACSIMILE COVER SHEET

Examiner: Bao Q. Li

Group: 1648

Date: September 22, 2003

Client Code: 0399

Facsimile No.: 1-703-746-7454

From: Anne J. Collins, Esq. *asc*

Subject: Paper: Amendment filed on June 2, 2003, and related papers
Docket No.: 0399.2006-003
Applicants: Qian Huang, et al.
Serial No.: 09/761,534
Filing Date: January 16, 2001

Number of pages including this cover sheet: 40Please confirm receipt of facsimile: Yes ☒ No ☐

Comments:

As requested.

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AJC:jcc
06/02/03

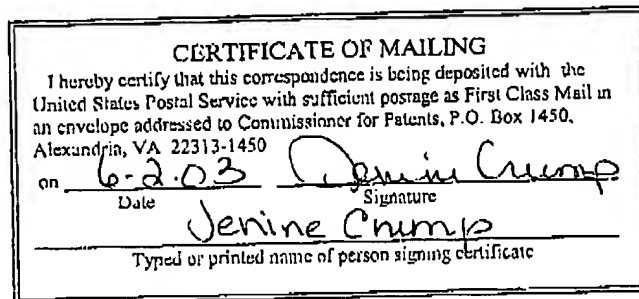
PATENT APPLICATION
Docket No.: 0399.2006-003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Qian Huang, Joan F.J., Richmond, Bryan K. Cho, Deborah Palliser, Jianzhu Chen,
Herman N. Eisen and Richard A. Young

Application No.: 09/761,534 Group Art Unit: 1648
Filed: January 16, 2001 Examiner: Bao Q. Li
Confirmation No.: 5869

For: In Vivo CTL Elicitation by Heat Shock Protein Fusion Proteins Maps to a Discrete Domain and
is CD4⁺ T Cell-Independent

TRANSMITTAL OF FORMAL DRAWINGS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In reply to the Office Action mailed from the U.S. Patent and Trademark Office on
December 3, 2002, transmitted herewith are formal drawings consisting of 12 sheets, figures 1A-
1C, 2A-2B, 3, 4, 5A-5C, 6A-6C, 7, 8A-8C, 9A-9C, 10A-10C, 11, 12, 13A-13B and 14 for filing
in the subject application.

Acceptance of the formal drawings is respectfully requested.

Respectfully submitted,
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Anne J. Collins
Anne J. Collins
Registration No.: 40,564
Telephone: (978) 341-0036
Facsimile: (978) 341-0136

Concord, MA 01742-9133

Date: June 2, 2003

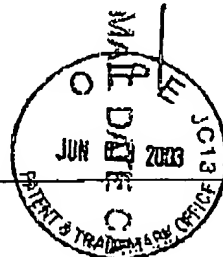
AIC:jcc

Docket No.: 0399.2006-003

Date: June 2, 2003

This is to acknowledge receipt of the following documents each filed under Certificate of Mailing Procedure 37 C.F.R. 1.8:

☒ Amendment Fee Letter w/copy ☒ Pet Ext Time w/copy (3 month)
☒ AMENDMENT
AMENDMENT AFTER FINAL ACTION
☒ Marked Up Version of Amendments (i -ii)
☒ Check for \$609.00 Auth to charge fees
☒ Transmittal of Formal Drawings
☒ DRAWINGS [12] Sheets
☒ Other Change of Correspondence Address



Applicant: Qian Huang, *et al.*
Application No.: 09/761,534 Filed: January 16, 2001
Title: In Vivo CTL Elicitation by Heat Shock Protein Fusion Proteins.
Date received by the PTO:
@PTDesktop\ODMA\MI10DMA\iManage\398984.1



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ADMINISTRATIVE DIRECTOR
BARBARA J. FORDE
ADMINISTRATOR OF
PATENT AND
TRADEMARK PRACTICE

September 22, 2003

Via Facsimile

Examiner Bao Q. Li
U.S. Patent and Trademark Office
Washington, D.C. 20231

Rc: U.S. Application No.: 09/761,534
U.S. Filing Date: January 16, 2001
Title: In Vivo CTL Elicitation by Heat Shock Protein Fusion Proteins
Maps to a Discrete Domain and is CD4⁺ T Cell-Independent
Inventors: Qian Huang, Joan F.L. Richmond, Bryan K. Cho,
Deborah Palliscr, Jianzhu Chen, Herman N. Eisen and
Richard A. Young
Group Art Unit: 1648
Confirmation No.: 5869
Our Docket No.: 0399.2006-003

Dear Examiner Li:

In accordance with our telephone conversation today, as requested enclosed please find the following document, all of which were filed with the U.S. Patent Office on June 2, 2003:

1. Postcard receipt date stamped by the U.S. PTO
2. Petition for Extension of Time
3. Amendment Fee Letter
4. Amendment A (pp. 1-17,
with marked up version of amendments (pp. i-ii)
5. Change of Correspondence Address
6. Transmittal of Formal Drawings
7. Formal Drawings, 12 Sheets, Figures 1A-1C, 2A-2B, 3, 4, 5A-5C, 6A-6C, 7, 8A-8C, 9A-9C, 10A-10C, 11, 12, 13A-13B and 14

Please contact me after you have had a chance to review the enclosed documents.

Very truly yours,

Anne J. Collins
Anne J. Collins

Registration No.: 40,564

AJC:jcc(amg)
Enclosures

==QJMMAMHODMANMange:422215;1

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@PFI Desktop: ODMA\HODMA\IMANAGE\398980;1
PATENT APPLICATION

DOCKET NO.: 0399.2006-003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Qian Huang, Joan F.L. Richmond, Bryan K. Cho, Deborah Palliser, Jianzhu Chen,
Herman N. Eisen and Richard A. Young

Application No.: 09/761,534

Group Art Unit: 1648

Filed: January 16, 2001

Examiner: Bao Q. Li

Confirmation No.: 5869

For: In Vivo CTL Elicitation by Heat Shock Protein Fusion Proteins Maps to a Discrete Domain and is
CD4+ T Cell-Independent

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450	
on <u>6-2-03</u>	<u>Jenine Crump</u>
Date	Signature
<u>Jenine Crump</u>	
Typed or printed name of person signing certificate	

PETITION FOR EXTENSION OF TIME

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant hereby petitions the Commissioner for Patents to extend the time for filing a Reply to the Office Action dated December 3, 2002 for three month(s) from March 3, 2003 to June 3, 2003.

	<u>Small Entity</u>	<u>Other than Small Entity</u>
1 month -	\$ 55	\$ 110
2 months -	\$ 205	\$ 410
3 months -	<u>X</u> \$ 465	\$ 930
4 months -	\$ 725	\$1,450
5 months -	\$ 985	\$1,970

- [X] A check is enclosed in the amount of the extension fee indicated above, or the extension fee has been included in the check with the accompanying Reply.
- [] Please charge Deposit Account No. 08-0380 in the amount of \$[] to cover the cost of the extension fee.

Any deficiency or overpayment should be charged or credited to Deposit Account No. 08-0380. A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Anne J. Collins
Anne J. Collins
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Facsimile (978) 341-0136

Concord, Massachusetts 01742-9133

Dated: June 2 2003

Received from < > at 9/22/03 1:23:45 PM [Eastern Daylight Time]

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 AJC:jcc
 06/2/03

PATENT APPLICATION
 DOCKET NO. 0399.2006-003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Qian Huang, Joan F.L. Richmond, Bryan K. Cho, Deborah Palliser, Jianzhu Chen,
 Herman N. Eisen and Richard A. Young

Application No.: 09/761,534

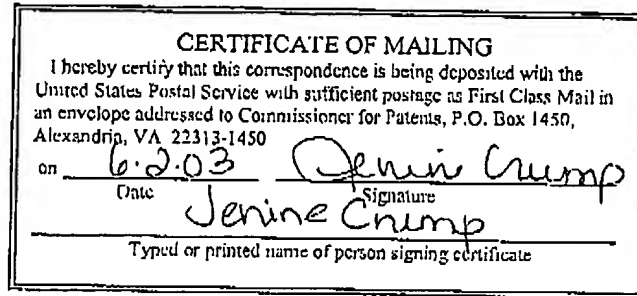
Group Art Unit: 1648

Filed: January 16, 2001

Examiner: Bao Q. Li

Confirmation No.: 5869

Title: In Vivo CTL Elicitation by Heat Shock Protein Fusion Proteins Maps to a Discrete
 Domain and is CD4+ T Cell-Independent



Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Sir:

Transmitted herewith is Amendment for filing in the above-identified application.

- [X] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a Small Entity Statement previously submitted.
- [] A Small Entity Statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

The fee has been calculated as shown below:

(COL. 1)	(COL. 2)	(COL. 3)
CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL 51	MINUS * 35	16
INDEP 3	MINUS ** 3	0
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM		

* not fewer than 20
 ** not fewer than 3

SMALL ENTITY	
RATE	ADDIT. FEE
X \$9	\$ 144
X \$42	\$ 0
+ \$140	\$

TOTAL = \$ 144

OTHER THAN SMALL ENTITY	
RATE	ADDIT. FEE
X \$18	\$
X \$84	\$
+ \$280	\$

TOTAL = \$ 0

Received from < > at 9/22/03 1:23:45 PM [Eastern Daylight Time]

09/761,534

-2-

Please charge Deposit Account No. 08-0380 for the following fees:

<input type="checkbox"/>	Petition for [] month Extension of Time	\$	
<input type="checkbox"/>	Amendment Fee	\$	
<input type="checkbox"/>	Other Fees:		
		\$	
		\$	
	TOTAL:	\$	0

A check is enclosed in payment of the following fees:

<input checked="" type="checkbox"/>	Petition for three month Extension of Time	\$	465
<input checked="" type="checkbox"/>	Amendment Fee	\$	144
<input type="checkbox"/>	Other Fees:		
		\$	
		\$	
	TOTAL:	\$	609

☒ A general authorization is hereby granted to charge Deposit Account No. 08-0380 for any fees required under 37 C.F.R. 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By

Anne J. Collins

Registration No.: 40,564

Telephone (978) 341-0036

Facsimile (978) 341-0136

Concord, Massachusetts 01742-9133

Dated:

June 2, 2003